

P21186.A07



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hiroshi NOMURA et al.

Appln. No. : 09/960,382 ✓

Filed : September 24, 2001

For : LENS DRIVE MECHANISM

**Box Issue Fee  
Confirmation No. 7525**

Group Art Unit: 2873

Examiner: H. MAI

**REQUEST FOR INITIALED PTO-1449 FORM**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

On June 27, 2003, Applicants filed a Supplemental Information Disclosure Statement in the above-captioned matter. Applicants note that the initialed PTO-1449 form has never been returned. Accordingly, Applicants respectfully request that the Examiner forward an initialed copy of the PTO-1449 form.

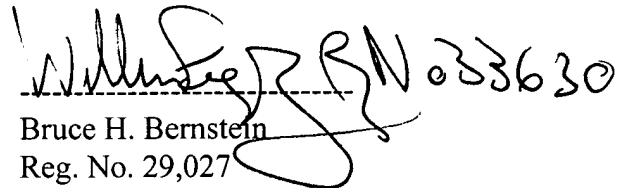
For the convenience of the Examiner, Applicants are submitting herewith a copy of the Supplemental Information Disclosure Statement filed June 27, 2003, a copy of the Forms PTO-1449 filed therewith, and a copy of the respective date-stamped mailroom receipt evidencing the filing of the same.

Since prosecution was not closed and the fee was paid, consideration is submitted to be appropriate and is requested by return of a signed and initialed copy of the PTO 1449 form.

P21186.A07

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Hiroshi NOMURA et al.

 033630  
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Bruce H. Bernstein  
Reg. No. 29,027

May 5, 2004  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191



P21186.A03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hiroshi NOMURA et al.

Group Art Unit: 2873

Appln. No. : 09/960,382

Examiner: H. MAI

Filed : September 24, 2001

For : LENS DRIVE MECHANISM

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, § 1.97-1.98, and supplemental to the Information Disclosure Statements filed in the instant application on March 8, 2002 and June 5, 2003, Applicant hereby calls the following documents to the Examiner's attention:

- (1) U.S. Patent No. 4,227,792 to RENTSCHLER, issued on October 14, 1980;
- (2) U.S. Patent No. 6,089,760 to TERADA, issued on July 18, 2000;
- (3) U.S. Patent No. 5,892,998 to KODAIRA et al., issued on April 6, 1999;
- (4) U.S. Patent No. 6,125,237 to PARK, issued on September 26, 2000;
- (5) U.S. Patent No. 4,426,145 to HASHIMOTO, issued on January 17, 1984;
- (6) U.S. Patent No. 4,839,680 to AMADA et al., issued on June 13, 1989;
- (7) U.S. Patent No. 4,922,274 to YAMAMOTO et al., issued on May 1, 1990; and
- (8) U.S. Patent No. 6,176,627 to SUH, issued on January 23, 2001.

COPY

P21186.A03

The above-mentioned documents were cited by the Examiner in related co-pending, commonly assigned patent application Nos. 10/101,150 (i.e., documents (1) and (2)); 10/101,062 (i.e., documents (3) and (4)); and 10/101,149 (i.e., documents (5)-(8)). Because these related co-pending, commonly assigned U.S. Patent Applications were cited in the Information Disclosure Statement filed in the instant application on June 5, 2003, Applicant presumes that the Examiner has considered the above-noted documents during his review of the files of related Application Nos. 10/101,150; 10/101,062; and 10/101,149.

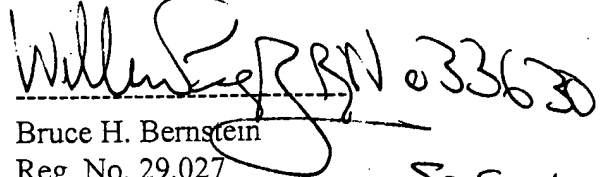
Accordingly, Applicant respectfully requests that the Examiner consider the above materials and cite the patent documents. Copies of the above-noted documents are attached and have been listed on a PTO-1449 Form which is also attached hereto. Accordingly, the Examiner is requested to initial the appropriate spaces on the attached PTO-1449 Form and to return a copy of the Form to the Applicant with the next official communication in the present application to confirm consideration of these documents.

Applicant notes that an Office Action on the merits has issued in the instant application, and thus, a check in the amount of \$180.00 is attached to ensure consideration of this statement and the submitted materials. The Commissioner is hereby authorized to charge payment of any necessary additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-0089.

P21186.A03

Should the Examiner have any questions, the Examiner is invited to contact the undersigned  
at the below-listed telephone number.

Respectfully submitted,  
Hiroshi NOMURA et al.

  
Bruce H. Bernstein  
Reg. No. 29,027  
S-S-4

June 18, 2003  
GREENBLUM & BERNSTEIN, P.L.C.  
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Form PTO-1449

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.  
P21186

Serial No.  
09/960,382

Applicants  
Hiroshi NOMURA et al.

Filing Date  
September 24, 2001

Group  
2873

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 2 2 7 7 9 2	10/14/80	RENTSCHLER			
	6 0 8 9 7 6 0	07/18/00	TERADA			
	5 8 9 2 9 9 8	04/06/99	KODAIRA et al.			
	6 1 2 5 2 3 7	09/26/00	PARK			
	4 4 2 6 1 4 5	01/17/84	HASHIMOTO			
	4 8 3 9 6 8 0	06/13/89	AMADA et al.			
	4 9 2 2 2 7 4	05/01/90	YAMAMOTO et al.			
	6 1 7 6 6 2 7	01/23/01	SUH			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



GREENBLUM & BERNSTEIN, P.L.C.  
Intellectual Property Causes  
1950 Roland Clarke Place  
Reston, VA 20191  
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**BEST AVAILABLE COPY**

6/26/2003

File In: Mailroom

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- |  |  |
|--|--|
| <input type="checkbox"/> Amendment _____   | <input type="checkbox"/> Claim of Priority & Certified Copy of _____       |
| <input type="checkbox"/> Executed Assignment and cover letter<br><input type="checkbox"/> by facsimile   | <input type="checkbox"/> Declaration <input type="checkbox"/> Supplemental |
| <input type="checkbox"/> Executed S.E.S. <input type="checkbox"/> S.E.S. Assertion   | <input type="checkbox"/> New <input type="checkbox"/> Unexecuted           |
| <input type="checkbox"/> Req. for Ext. of Time   | <input type="checkbox"/> Executed by facsimile                             |
| <input checked="" type="checkbox"/> Fee Filing <input checked="" type="checkbox"/><br>Recording <input type="checkbox"/><br>Issue <input type="checkbox"/><br>Extension <input type="checkbox"/><br><u>IDS</u> <input checked="" type="checkbox"/> | <input type="checkbox"/> Transmittal Letter                                |
| <input type="checkbox"/> Fee Transmittal   | <input type="checkbox"/> Patent Application                                |
| <input type="checkbox"/> Maintenance Fee Payment   | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam       |
| <input type="checkbox"/> PTOL-85B Issue Fee  | <input type="checkbox"/> Reissue <input type="checkbox"/> Design           |
| <input type="checkbox"/> Letter to Draftsman (in trip.)  | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP         |
| <input type="checkbox"/> Design Patent Application Transmittal   | _____ pages (w/abstract)   |
| <input type="checkbox"/> Utility Patent Application Transmittal  | _____ claims _____ independent   |
| <input type="checkbox"/> Provisional Application Cover Sheet   | _____ sheets of drawings   |
| <input type="checkbox"/> Continued Prosecution Application   | _____ figures  |
| <input type="checkbox"/> (CPA) Request Transmittal   | <input checked="" type="checkbox"/> I.D.S. form PTO-1449 &                 |
| <input type="checkbox"/> Request for Continued Examination (RCE)<br>including submission   | References <input checked="" type="checkbox"/> as attached                 |
| <input type="checkbox"/> Cover Letter  | <input type="checkbox"/> as listed on reverse                              |
| <input checked="" type="checkbox"/> Check No. 46174 for \$ 180.00  | <input type="checkbox"/> One self-addressed postcard                       |
| <input type="checkbox"/> Rule 53b and 53f Letter for<br>Unexecuted Application   | <input type="checkbox"/> Certification under 1.97(e)                       |
|  | <input type="checkbox"/> Certificate of Mailing (C-0-)                     |
|  | <input type="checkbox"/> Returned Envelope                                 |



In the matter of : LENS DRIVE MECHANISM

Applicant : Hiroshi NOMURA et al.

Application No. : 09/960,382

Patent No. :

Filed : 9/24/01

Issued :

Docket : P21186 CM

**COPY**